

NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: META MATERIALS INC	Case Number: 24-50792 24-7204	<p>RECEIVED AND FILED DLS</p> <p>DEC 27 2024</p> <p>U.S. BANKRUPTCY COURT MARY A. SCHOTT, CLERK</p> <p>COURT USE ONLY</p>
<p>1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"):</p> <p>Charlotte Raymond 9281 SW 102nd PLACE Ocala, FL 34481</p> <p>Telephone Number: 863-266-9669</p>	<p><input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.</p> <p><input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case.</p> <p><input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.</p>	
<p>NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.</p>		
Account or other number by which Interest holder identifies Debtor: Charles Schwab	<p>Check here if this claim:</p> <p><input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____</p> <p><input type="checkbox"/> amends a previously filed Proof of Interest dated: _____</p>	
<p>2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest:</p> <p>Charles Schwab 2009. 108 AV Omaha, Nebraska 68154</p> <p>Telephone Number: 800-435-4000</p>	<p>3. Date Equity Interest was acquired:</p> <p>Oct. 9, 2021 Nov. 12, 2021 Nov. 4, 2021 Nov 12, 2021</p>	
<p>4. Total amount of member interest: \$1,748.47</p>	<p>5. Certificate number(s): _____</p>	
<p>6. Type of Equity Interest:</p> <p>Please indicate the type of Equity Interest you hold:</p> <p><input type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor.</p> <p><input checked="" type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Investor</p> <p>Description: _____</p>		
<p>7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.</p>		
<p>8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.</p>		
<p>9. Signature:</p> <p>Check the appropriate box.</p> <p><input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor.</p> <p>(Attach copy of power of attorney, if any.) (See Bankruptcy Rule 3004.) (See Bankruptcy Rule 3005.)</p> <p>I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.</p> <p>Print Name: Charlotte Raymond</p> <p>Title: _____</p> <p>Company: _____ Address and telephone number (if different from notice address above): _____</p> <p>Signature: Charlotte Raymond (Date) 12/16/24</p> <p>Telephone number: 863-266-9669 email: ATRIUM JR 352@gmail</p>		

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571.

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Statement for Account # 426-474236

11/01/21 - 11/30/21

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/11/21	11/15/21	Cash	Buy - Securities Purchased	OCUGEN INC COM	OCGN	10	8.0493	(80.49)	310.51
11/11/21	11/15/21	Cash	Sell - Securities Sold	AQUESTIVE THERAPEUTICS INC COM	AQST	50-	6.3301	316.50	627.01
				Regulatory Fee 0.01		-	0.00	(627.01)	0.00
11/15/21	11/15/21	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT		-	0.00		
11/12/21	11/16/21	Cash	Sell - Securities Sold	SUNDIAL GROWERS INC COM	SNDL	100-	0.8733	87.32	87.32
				Regulatory Fee 0.01					
11/12/21	11/16/21	Cash	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	50	4.7499	(237.50)	(150.18)
11/12/21	11/16/21	Cash	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	100	4.73	(473.00)	(623.18)
11/16/21	11/16/21	Cash	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT		-	0.00	623.18	0.00
11/15/21	11/17/21	Cash	Sell - Securities Sold	TESLA INC COM	TSLA	1-	994.768	994.76	994.76
				Regulatory Fee 0.01					
11/15/21	11/17/21	Cash	Buy - Securities Purchased	SPI ENERGY CO LTD COM	SPI	50	5.6599	(283.00)	711.76
11/17/21	11/17/21	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT		-	0.00	(711.76)	0.00
11/17/21	11/19/21	Cash	Sell - Securities Sold	SPI ENERGY CO LTD COM	SPI	50-	6.89	344.49	344.49
				Regulatory Fee 0.01					
11/17/21	11/19/21	Cash	Buy - Securities Purchased	OCUGEN INC COM	OCGN	20	7.87	(157.40)	187.09
11/19/21	11/19/21	Cash	Div/Int - Income	ENERGY TRANSFER LP COM UNITS REP LTD PTN INT Payable: 11/19/2021	ET	-	0.00	6.10	193.19
11/19/21	11/19/21	Cash	Journal - Other	MLP Return of Capital 6.10		-	0.00	(193.19)	0.00
11/19/21	11/23/21	Cash	Buy - Securities Purchased	PURCHASE FDIC INSURED DEPOSIT ACCOUNT		-	0.00		
				MARATHON OIL CORPORATION COM	MRO	10	15.08	(150.80)	(150.80)

Statement for Account # 426-474236
11/01/21 - 11/30/21

Account Positions												
Investment Description			Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Cash												
OCUGEN INC COM			OCGN	70	6.34	443.80	11/04/21	631.67	9.02	(187.87)	-	-
Total Stocks						\$4,619.68		\$4,960.70		\$(341.02)	\$0.00	0.0%
Total Cash Account						\$4,619.68		\$4,960.70		\$(341.02)	\$0.00	0.0%
Account Activity												
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance			
Opening Balance									\$ 0.00			
10/29/21	11/02/21	Cash	Buy - Securities Purchased	ILUSTRATO PICTURES INTL INC COM	ILUS	1,500	\$ 0.3668	\$(557.15)	(557.15)			
				Commission/Fee 6.95								
11/01/21	11/02/21	Cash	- Contributions	ACH IN	-	-	0.00	2,000.00	1,442.85			
11/02/21	11/02/21	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,442.85)	0.00			
11/02/21	11/04/21	Cash	Buy - Securities Purchased	NETLIST INC COM	NLST	100	7.41	(747.95)	(747.95)			
				Commission/Fee 6.95								
11/04/21	11/04/21	Cash	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	747.95	0.00			
11/04/21	11/08/21	Cash	Buy - Securities Purchased	OCUGEN INC COM	OCGN	10	10.66	(106.60)	(106.60)			
11/04/21	11/08/21	Cash	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	60	5.04	(302.40)	(409.00)			
11/04/21	11/08/21	Cash	Buy - Securities Purchased	AQUESTIVE THERAPEUTICS INC COM	AQST	50	6.0699	(303.50)	(712.50)			
11/04/21	11/08/21	Cash	Buy - Securities Purchased	OCUGEN INC COM	OCGN	10	10.41	(104.10)	(816.60)			
11/08/21	11/08/21	Cash	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	816.60	0.00			
11/05/21	11/09/21	Cash	Sell - Securities Sold	ENERGY TRANSFER LP COM UNITS REP LTD PTN INT	ET	40-	9.29	371.60	371.60			

Statement for Account # 426-474236

10/01/21 - 10/31/21

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Account Positions												
Investment Description			Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Cash												
MIRUM PHARMACEUTICALS INC COM			MIRM	40	15.88	635.20	10/01/21	762.40	19.06	(127.20)	-	-
SUNDIAL GROWERS INC COM			SNDL	100	0.65	65.00	08/25/21	77.59	0.78	(12.59)	-	-
Total Stocks						\$2,557.31		\$2,738.14		\$(180.83)	\$24.40	1.0%
Total Cash Account						\$2,557.31		\$2,738.14		\$(180.83)	\$24.40	1.0%
Account Activity												
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance			
Opening Balance												
10/01/21	10/04/21	Cash	- Contributions	ACH IN	-	-	\$ 0.00	\$ 550.00	550.00			
10/04/21	10/04/21	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(550.00)	0.00			
10/01/21	10/05/21	Cash	Buy - Securities Purchased	MIRUM PHARMACEUTICALS INC COM	MIRM	40	19.0599	(762.40)	(762.40)			
10/05/21	10/05/21	Cash	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	762.40	0.00			
10/04/21	10/06/21	Cash	Buy - Securities Purchased	AMER BATTERY TECHNOLOGY CO COM	ABML	200	1.585	(323.95)	(323.95)			
10/04/21	10/06/21	Cash	Buy - Securities Purchased	Commission/Fee 6.95								
10/06/21	10/06/21	Cash	Journal - Other	META MATERIALS INC COM	MMAT	40	5.3399	(213.60)	(537.55)			
10/06/21	10/07/21	Cash	- Contributions	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	537.55	0.00			
10/07/21	10/07/21	Cash	Journal - Other	ACH IN	-	-	0.00	1,300.00	1,300.00			
				PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-	-	0.00	(1,300.00)	0.00			